

403(b) Information sharing fact sheet

Information received by American United Life Insurance Company® (AUL) from another provider

- We accept SPARK 1.01, 1.02, 1.03 or 1.04 (www.sparkinstitute.org). Employer Identification Number is a required field to link the data feed to the plan sponsor
- We are capable of taking feeds on any frequency
- We expect each feed to include all plans that share data (If one plan was left out of the file by accident, please add to the complete file and resend)

Information sent from AUL to another provider

- We will send SPARK 1.04 [01 and 02 record types] on a monthly basis but can send the file weekly if requested.
- The feed will include data on all plans that are shared between AUL and the recipient
- We are using file transfer protocol (FTP) to send the file with PGP encryption
- Files may also be accessed via our Web sites (eSponsor® for plan sponsors and eAccess® for third party administrators)
- If providers are acting as Third Party Administrators, they may opt to access the SPARK file and employer information via eAccess®
- If the provider chooses to use eAccess®, an agreement must be signed with AUL

Items necessary to implement data sharing

- Employer must sign vendor-sharing agreement
- AUL and stated providers must sign required documents for data sharing to begin

Note: Group variable annuity contracts are issued by AUL and registered group variable annuity contracts are distributed by OneAmerica Securities, Inc., Member FINRA, SIPC, a Registered Investment Advisor, 433 N. Capitol Ave., Indianapolis, IN 46204, 1-877-285-3863, which is a wholly owned subsidiary of AUL.

For assistance, please
contact your plan
services consultant at
1-800-261-9618