

# 403(b) Information sharing fact sheet

## Information received by American United Life Insurance Company® (AUL) from another provider

- We accept SPARK 1.01, 1.02, 1.03 or 1.04 ([www.sparkinstitute.org](http://www.sparkinstitute.org)). Employer Identification Number is a required field to link the data feed to the plan sponsor
- We are capable of taking feeds on any frequency
- We expect each feed to include all plans that share data (If one plan was left out of the file by accident, please add to the complete file and resend)

## Information sent from AUL to another provider

- We will send SPARK 1.04 [01 and 02 record types] on a monthly basis but can send the file weekly if requested.
- The feed will include data on all plans that are shared between AUL and the recipient
- We are using file transfer protocol (FTP) to send the file with PGP encryption
- Files may also be accessed via our Web sites (eSponsor® for plan sponsors and eAccess® for third party administrators)
- If providers are acting as Third Party Administrators, they may opt to access the SPARK file and employer information via eAccess®
- If the provider chooses to use eAccess®, an agreement must be signed with AUL

## Items necessary to implement data sharing

- Employer must sign vendor-sharing agreement
- AUL and stated providers must sign required documents for data sharing to begin

**Note:** Group variable annuity contracts are issued by AUL and registered group variable annuity contracts are distributed by OneAmerica Securities, Inc., Member FINRA, SIPC, a Registered Investment Advisor, 433 N. Capitol Ave., Indianapolis, IN 46204, 1-877-285-3863, which is a wholly owned subsidiary of AUL.

For assistance, please  
contact your plan  
services consultant at  
**1-800-261-9618**